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<b>(54) Title:</b> USE OF NEURO-DERIVED FETAL CELL LINES FOR TRANSPLANTATION THERAPY  <b>(57) Abstract</b>  Human fetal neuro-derived cell lines are implanted into host tissues. The methods allow for treatment of a variety of neurological disorders and other diseases. A preferred cell line is SVG.		

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## 5                   USE OF NEURO-DERIVED FETAL                   CELL LINES FOR TRANSPLANTATION THERAPY

### BACKGROUND OF THE INVENTION

10           The present invention relates generally to methods  
for treating a host by implanting genetically unrelated cells  
in the host. More particularly, the present invention  
provides methods of treating a host by implantation of  
immortalized human fetal neuro-derived cells.

15           Organ transplantation has become a successful and  
widely practiced means of treating a variety of diseases.  
Cardiac, renal, and even liver transplants are almost routine  
in many medical centers. Unfortunately, disorders of many  
organs are not amenable to treatment with whole organ  
transplants. For example, lesions of the central nervous  
20           system may not be treated by whole organ transplants to  
replace damaged tissue.

              Because replacement of injured tissue by whole organ  
transplant therapy is not possible for many diseases, or even  
for all patients having appropriate diseases, attempts have  
25           been made to develop methods of transplanting cells. Sun et  
al., Biomat., Art. Cells, Art. Org., 15:483-496 (1987).  
Parenchymal lesions which result in a deficiency of a  
biologically active compound may be treated by transplanting  
isolated cells or cell clusters that secrete the biologically  
30           active compound. For example, diabetic animals have been  
successfully treated by implantation of islets of Langerhans  
separated from donor pancreases. Noel et al., Metabolism,  
31:184 (1982).

35           Cell transplant therapy is particularly appealing  
for treatment of neurological diseases. Solid tissue  
transplantation is especially inappropriate for neurological  
diseases for several reasons. Open surgical exposure of the  
brain, as required for solid tissue transplantation, can cause  
irreparable damage to nervous system pathways resulting in

clinical neurological deficits. Also, neurological function often depends on complex intercellular connections which can not be surgically established. Further, cells of the central nervous system are exquisitely sensitive to anoxia and nutrient deprivation. Rapid vascularization of solid tissue transplants is critical as cells in the interior of solid tissue transplants often lack sufficient perfusion to maintain viability. Stenevi et al., Brain Res., 114:1-20 (1976).

One common neurological syndrome, Parkinsonism has been the object of attempts at cell transplant therapy. Björklund et al., Brain Res., 177:555-560 (1979); Lindvall et al., Science, 247:574-577 (1990); Freed, Restor. Neurol. Neurosci., 3:109-134 (1991). Parkinsonism is caused by a loss of dopamine-producing neurons in the substantia nigra of the basal ganglia. Burns et al., N. Engl. J. Med., 312:1418-1421 (1985); Wolff et al., Neurobiology, 86:9011-9014 (1989). Parkinson's disease, a disease of unknown etiology which is characterized by the clinical manifestations of Parkinsonism, is caused idiopathic destruction of these dopamine-producing neurons. Parkinsonism may be caused by a variety of drugs, e.g., antipsychotic agents, or chemical agents, e.g., 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine. Burns et al., Proc. Natl. Acad. Sci. USA, 80:4546-4550 (1983) and Bankiewicz et al., Life Sci., 39:7-16 (1986).

Attempts have been made to reverse the clinical manifestations of experimentally-induced Parkinsonism by transplanting dopaminergic cells into the striatum of affected animals. Genetically modified fibroblasts (transfected with DNA encoding tyrosine hydroxylase) have been successfully transplanted into animals having lesions of dopaminergic pathways. Motor function and behavior of the animals improved following implantation of the dopamine producing fibroblasts. Wolff et al., Proc. Natl. Acad. Sci. USA, 86:9011-9014 (1989); Fisher et al., Neuron, 6:371-380 (1991). Graft survival may be enhanced, and hence clinical improvement prolonged, by transplantation of fetal tissue, as compared to cells obtained following birth. Gage and Fisher, Neuron, 6:1-12 (1991). Fresh fetal dopaminergic neurons have been transplanted into

the caudate nucleus of monkeys following chemical injury to the nigrostriatal dopamine system. Following transplantation, the injury-induced behavioral deficits improved. Bankiewicz et al., J. Neurosurg., 72:231-244 (1990) and Taylor et al.,  
5 Prog. Brain Res., 82:543-559 (1990).

Humans suffering from Parkinsonism have been treated by striatal implantation of dopaminergic neurons. Lindvall et al., Arch. Neurol., 46:615-631 (1989); Widner et al., New Engl. J. Med., 327:1556-1563 (1992). The transplanted cells  
10 were obtained from abortions. Prior to the abortions, the women were screened for antibodies to several disease causing viruses. Following surgery, the treated patients exhibited improvement of neurological function. The patients required maintenance immunosuppressive therapy, however.

Recent investigations indicate that trophic factors released from support cells of the central nervous system (e.g., astrocytes and oligodendrocytes) are critical to survival of neurons in cell culture. O'Malley et al., Exp. Neurol., 112:40-48 (1991). Implanted fibroblasts that were  
20 genetically altered to express nerve growth factor have been shown to enhance survival of cholinergic neurons of the basal forebrain following injury to the fimbria-fornix which causes demise of acetylcholine neurons in the basal forebrain as seen in Alzheimer's disease. Rosenberg et al., Science, 242:1575-  
25 1577 (1988).

While previous attempts at cell transplant therapy for neurological disorders have provided encouraging results, several significant problems remain. The supply of fetal tissue for cellular transplants is quite limited. To ensure  
30 maximum viability, the fetal cells must be freshly harvested prior to transplantation. This requires coordinating the implantation procedure with elective abortions. Even then, fetal tissue has not been widely available in the United States. Also, the gestational age of the fetus from which  
35 cells are obtained influences graft survival. Gage and Fisher, supra. Obtaining fetal tissue of only certain gestational ages adds additional limitations to the availability of fetal cells for transplant. Further, ethical

considerations make some potential transplant recipients reluctant to undergo the procedure when fresh fetal cells are implanted.

Because the fetal tissue is obtained from fresh  
5 abortuses, a significant risk of infectious contamination exists. Although women undergoing abortions which will supply fetal tissue are screened for a variety of infections, some infections, e.g. HIV, may not be clinically detectable and thus, not identified during the screening process. Therefore,  
10 if widely practiced, transplants of fresh fetal cells would likely cause many infectious sequelae.

Use of immortalized cell lines could overcome many of these difficulties of availability and infection. Only one immortalized human fetal neuro-derived cell line has been  
15 reported, however. Major et al., Proc. Natl. Acad. Sci. USA, 82:1257-1262 (1985) and U.S. Patent No. 4,707,448. Further, immortalized cell lines, by their very nature, are predisposed to causing tumor formation following in vivo transplantation. Therefore, therapeutic intracerebral transplantations of  
20 immortalized cells carry a high risk of causing intracranial tumors, and even tumors having a benign histology may carry a poor prognosis when present within the calvarium.

Transplants of genetically unrelated cells carry the risk of immunological graft rejection and intracerebral  
25 inflammation. Widner and Brundin, Brain Res. Rev., 13:287-324 (1988). All transplants of genetically unrelated cells carry this risk. Therefore, patients treated by intracerebral cell transplant have required long-term maintenance immunosuppression which, even in the absence of transplanted  
30 immortalized cells, carries a high risk of infectious and malignant complications. The transplantation of immortalized cells only magnifies the risk of these complications.

What is urgently needed in the art are methods of therapeutically implanting immortalized human fetal neuro-  
35 derived cells. Ideally, the methods would not result in tumor formation or elicit intense inflammation following transplantation. Desirably, the methods could employ cells derived from cell lines so that the risk of infectious

contamination and limited cellular availability would be minimized. Quite surprisingly, the present invention fulfills these and other related needs.

5

#### SUMMARY OF THE INVENTION

The present invention provides methods for treating a host comprising implanting cells of an immortalized human neuro-derived fetal cell line into the host. Generally the cell line will be derived from human fetal astrocytes, such as the SVG cell line. The cells will often be implanted into the central nervous system of the host. The cells may be encapsulated by membranes which are impermeable to antibodies of the host.

In some embodiments of the invention, the cells may be transfected with a nucleic acid sequence encoding a peptide. The peptides will generally be enzymes, such as tyrosine hydroxylase, or growth factors, such as nerve growth factor. The peptide may also be a disease associated antigen. The cells may be implanted for purposes of treatment or prophylaxis. In some instances, the cells may be removed following implantation.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 demonstrates the morphology of SVG cells in vitro.

Fig. 2 illustrates immunoperoxidase staining of an antibody to SV40 T protein in SVG cells.

Fig. 3 demonstrates the needle track in the basal ganglia at low magnification.

Fig. 4 illustrates a high magnification view of a needle track in the basal ganglia.

Fig. 5 demonstrates another high magnification view of a needle track in the basal ganglia.

Fig. 6 demonstrates a nest of SVG cells on the wall of the lateral ventricle.

5 Fig. 7 illustrates implanted SVG cells on the wall of the lateral ventricle stained with an antibody to glial fibrillary acidic protein.

Fig. 8 demonstrates an in vivo section of implanted SVG cells stained with anti-T protein antibody.

10 Fig. 9 demonstrates a T<sub>1</sub> weighted MRI (with gadolinium enhancement) of a monkey brain 6 months following implantation.

Fig. 10 demonstrates growth of a tyrosine hydroxylase neuron on a layer of implanted SVG cells in vivo.

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#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

The present invention provides methods of treating a host by implanting immortalized human fetal cells derived from  
20 cells of the central nervous system. Graft rejection, intense intracerebral inflammation, and tumor formation have not been demonstrated following implantation of such cells into the central nervous system. Further, the cells have been shown to induce neuron migration and neurite extension. This  
25 demonstrates that the cells are functioning to produce trophic factors that stimulate neuronal responses.

Implantation of immortalized human fetal cells derived from cells of the central nervous system provides a means of treating many diseases. For example, Parkinson's  
30 disease may be treated by implantation of these cells into the basal ganglia of an affected host. The trophic factors produced by the implanted cells may inhibit dopaminergic neuron demise and even induce dopaminergic neuron regeneration. The increased population of dopaminergic  
35 neurons can provide clinical improvement of persons suffering from Parkinsonism. Alternatively, the implanted cells may be transfected with DNA encoding tyrosine hydroxylase. Expression of tyrosine hydroxylase by the implanted cells

allows the cells to produce and secrete dopamine. Thus, the implanted cells may increase the dopamine concentration in the substantia nigra and limit or reverse the effect of dopaminergic neuron loss.

5           The methods of the present invention may also be used to treat neurological disorders such as Huntington's chorea, Alzheimer's disease, or multiple sclerosis. As immortalized human fetal neuro-derived cells are compatible with the central nervous system (CNS), these cells may be  
10           transfected with DNA sequences encoding physiologically active peptides and implanted in the CNS. For instance, in Huntington's chorea and amyotrophic lateral sclerosis the peptide may block excitatory neurotransmitters such as glutamate. In multiple sclerosis, the peptide may be a  
15           trophic stimulator of myelination, such as platelet derived growth factor or may be a ciliary trophic factor which may block oligodendrocyte demise. As these diseases are more generalized than local lesions, the cells may be implanted on a surface exposed to cerebrospinal fluid. Following  
20           expression and secretion, the peptide will be washed over the entire surface of the brain by the natural circulation of the cerebrospinal fluid. Suitable sites for implantation include the lateral ventricles, lumbar thecal region, and the like. In Alzheimer's disease, the cells may be transfected to  
25           produce nerve growth factor to support neurons of the basal forebrain as described by Rosenberg et al., Science, 242:1575-1578 (1988), incorporated herein by reference.

          The methods of the present invention may also be employed to treat hosts by implantation of cells in  
30           extraneural sites. This embodiment of the present invention is particularly useful for prophylactic treatment of a host. Immortalized human fetal neuro-derived cells may be transfected with DNA encoding a disease-associated antigen, e.g. HIV gp120 polypeptides which encompass the principal  
35           neutralizing domain of HIV as described, e.g., in U.S. Patent No. 5,166,050. The cells may then express and secrete the antigen encoded by the transfected DNA. The antigen may be continuously secreted by the implanted cells and elicit a

strong immune response. Following an adequate time interval to fully immunize the host, the cells may be removed.

As used herein, "treating a host" includes prophylactic, palliative, and curative intervention in a disease process. The host may be any warm blooded mammal, such as humans, non-human primates, rodents, and the like.

A wide variety of diseases and syndromes may be treated by the methods of the present invention. Generally, the disease will be a neurological disease, such as Parkinsonism (including Parkinson's disease), Alzheimer's disease, Huntington's chorea, multiple sclerosis, amyotrophic lateral sclerosis, Gaucher's disease, Tay-Sachs disease, neuropathies, brain tumors, and the like. The methods of the present invention may also be employed in the treatment of non-neurological diseases. For example, the methods of the present invention may be used to immunize hosts against infectious diseases, such as viruses, bacteria, protozoa, and the like as described above. Immortalized human fetal neuro-derived cells may be transfected by DNA encoding physiologically active peptides or peptides which contain immunological epitopes. The methods of the present invention may be employed to implant the peptide producing cells and provide continuous in vivo delivery of other types of peptides, such as growth hormone, to the host.

The cells implanted by the methods of the present invention are immortalized human fetal neuro-derived cells. By "neuro-derived", it is meant that the prior to immortalization, the cells had a neurological cell phenotype or were an embryonic cell committed to differentiation to a neurological cell type. Neurological cell types include neurons, astrocytes, oligodendrocytes, choroid plexus epithelial cells, and the like.

Fetal cells may be collected following elective abortion. Women donating fetuses following abortion should be serologically screened for a variety of infectious diseases, including human immunodeficiency virus, hepatitis B virus, hepatitis C virus, cytomegalovirus, and herpes viruses Types 1 and 2. Fetuses will generally be 9-11 weeks of gestational

age (7-9 weeks postconception). Fetal age may be confirmed by ultrasound. Fetuses may be extracted under ultrasound guidance to minimize fetal brain trauma.

Following extraction, the fetal brain is identified and dissected from the abortus. The cells may be prepared as follows. Brain tissue is aspirated through a 19 gauge needle and washed twice in Eagle's minimum essential media (E-MEM, Gibco, New York, N.Y.). Cells are plated on culture dishes treated with poly-D-lysine (0.1 mg/ml for 5 minutes). The cells are grown on E-MEM supplemented with 20% fetal bovine serum, 75  $\mu$ g/ml streptomycin, 75 units/ml penicillin, 1% dextrose and 2  $\mu$ g/ml fungizone (Gibco). Prior to immortalization the cells are incubated at 37°C in a 5% CO<sub>2</sub> humidified environment. One of skill in the art will recognize that other methods for preparing cells may also be used.

The cells to be implanted by the methods of the present invention can be immortalized by a variety of techniques. Typically, the cells will be immortalized as follows. The cell cultures will generally produce progenitor neuronal and glial cells, as well as neurons, as described by Major and Vacante, J. Neuropath. and Exp. Neurol., 48:425-436 (1989), incorporated herein by reference. With regular refeeding, the brain cells will survive for several months but show little cell proliferation. Cells are transformed by transfection with a SV40 deletion mutant. The mutant DNA lacks an origin of replication (ori-) and can not multiply. Transfection of the DNA, however, will transform cells to unlimited growth potential as described by Gluzman, Cell, 23:175-182 (1981). After growing the fetal cell cultures for 3 weeks, the cells may be transfected with 100  $\mu$ g/flask of plasmid DNA (pMK16) containing the SV40 ori- mutant using the calcium phosphate precipitation technique as described by Graham et al. Virology, 52:456-467 (1973). Alternatively, the cells may be transfected by electroporation, or other well known techniques as described in Sambrook et al., Molecular Cloning, A Laboratory Manual, Cold Spring Harbor Press, 1988, incorporated herein by reference. Following transfection, the

cultures are grown with weekly refeeding. After several weeks, proliferation of glial cells in separate areas of the plates becomes evident. The cells are then transferred by trypsinization (0.025%) to new cultures. Transformed cells may be identified by fluorescence antibody assays to detect the SV40 T protein which is expressed by transformed cells (Fig. 2). The cells are passaged every 10 days until an increase in the number of T protein positive cells is detected.

The transformed cells will display the phenotype of a continuous cell line. Specifically, the cells will grow to a high saturation density with a 18 hr generation time. The cells do not show the transformed phenotype or anchorage independent growth, however, which is characteristic of non-mutant SV40 transformed cells. The cell morphology is also not altered during the course of establishment of the cell line. Foci of cells are generally not detected. Particularly useful are cells from the SVG cell line deposited with the American Type Culture Collection, Rockville MD, (A.T.C.C. CRL 8621) which is described in U.S. Patent No. 4,707,448, incorporated herein by reference (Fig. 1). Hereinafter by "SVG cells" or "SVG cell line", it is meant cells or a cell line derived from cell line A.T.C.C. CRL 8621. By derivatives is meant a subclone, replication, or genetically altered mutant of cell line A.T.C.C. CRL 8621.

Alternatively, the cells may be immortalized by other techniques which are well known in the art. For example, immortalization by Epstein-Barr virus may be employed, as described in U.S. Patent No. 4,464,465, incorporated herein by reference. Epstein-Barr virus mutants which lack OriP and OriLyt origins of replication are particularly useful. Another useful method of immortalization is over-expression of a cellular gene for growth control such as c-myc as described by Bartlett et al., Proc. Natl. Acad. Sci. USA, 85:3255-3259 (1988), incorporated herein by reference. Generally, transformed cells suitable for implantation will be anchorage dependent, will not grow in soft agar, and will not exhibit foci formation.

Preferably, the cells will not elicit an immune response from the recipient host and thus, not require immunosuppression of the host following implantation. Conveniently, the cells, such as SVG cells, may not express MHC Class II molecules or MHC Class I molecules. Cells lacking expression of MHC Class I or Class II molecules may not elicit an immune response. Cells lacking expression of MHC Class II molecules may be derived from SVG cells or recombinantly constructed as described in U.S. Patent No. 4,707,448, incorporated herein by reference. Functional MHC Class I or Class II molecule genes may also be removed by homologous recombination with vectors carrying non-functional MHC molecule sequences. The resulting cells would not produce functional MHC Class I or Class II molecules, respectively. Alternatively, expression of MHC Class I or Class II molecules may be suppressed in other cells. Suppression may be accomplished, e.g., by antisense nucleic acid sequences to block transcription or translation of nucleic acid sequences (DNA or RNA) encoding MHC Class I or Class II molecules, respectively. Expression vectors which constitutively express nucleic acid sequences complementary to conserved regions of MHC Class I or Class II molecule genes or RNA may be transfected into cells to suppress expression of the genes.

The histological origin of the transformed cells may then be determined. Characteristically, astroglial cells can be recognized by the presence of an intermediate filament composed of glial fibrillary acidic protein, GFAP. Oligodendroglial cells, on the other hand, are myelin producing cells and can be identified by their synthesis of a galactocerebroside, gal C, which is a component of myelin.

Following transformation, the cells will be prepared for implantation. The cells are suspended in a physiologically compatible carrier, such as cell culture medium (e.g., Eagle's minimal essential media) or phosphate buffered saline. Cell density is generally about  $10^4$  to  $10^7$  cells/ml. The cell suspension is gently rocked prior to implantation. The volume of cell suspension to be implanted will vary depending on the site of implantation, treatment

goal, and cell density in the solution. For example, in the treatment of Parkinsonism, 5  $\mu$ l to 60  $\mu$ l of cell suspension will be administered in each injection. Several injections may be used in each host. Persons of skill will understand how to determine proper cell dosages.

The cells may be implanted within the parenchyma of the brain, in a space containing cerebrospinal fluid, such as the sub-arachnoid space or ventricles, or extraneurally. As used herein, the term "extraneurally" is intended to indicate regions of the host which are not within the central nervous system or peripheral nervous tissue, such as the celiac ganglion or sciatic nerve. "Extraneural" regions may contain peripheral nerves. "Central nervous system" is meant to include all structures within the dura mater.

When the cells are implanted into the brain, stereotaxic methods will generally be used as described in Leksell and Jernberg, Acta Neurochir., 52:1-7 (1980) and Leksell et al., J. Neurosurg., 66:626-629 (1987), both of which are incorporated herein by reference. Localization of target regions will generally include pre-implantation MRI as described in Leksell et al., J. Neurol. Neurosurg. Psychiatry, 48:14-18 (1985), incorporated herein by reference. Target coordinates will be determined from the pre-implantation MRI.

Prior to implantation, the viability of the cells may be assessed as described by Brundin et al., Brain Res., 331:251-259 (1985), incorporated herein by reference. Briefly, sample aliquots of the cell suspension (1-4  $\mu$ l) are mixed on a glass slide with 10  $\mu$ l of a mixture of acridine orange and ethidium bromide (3.4  $\mu$ g/ml of each component in 0.9% saline; Sigma). The suspension is transferred to a hemocytometer, and viable and non-viable cells were visually counted using a fluorescence microscope under epi-illumination at 390 nm. combined with white light trans-illumination to visualize the counting chamber grid. Acridine orange stains live nuclei green, whereas ethidium bromide will enter dead cells resulting in orange-red fluorescence. Cell suspensions should generally contain more than about 98% viable cells.

5       Injections will generally be made with sterilized 10  $\mu$ l Hamilton syringes having 23-27 gauge needles. The syringe, loaded with cells, are mounted directly into the head of a stereotaxic frame. The injection needle is lowered to  
10       predetermined coordinates through small burr holes in the cranium, 40-50  $\mu$ l of suspension are deposited at the rate of about 1-2  $\mu$ l/min. and a further 2-5 min. are allowed for diffusion prior to slow retraction of the needle. Frequently, two separate deposits will be made, separated by 1-3 mm, along  
15       the same needle penetration, and up to 5 deposits scattered over the target area can readily be made in the same operation. The injection may be performed manually or by an infusion pump. At the completion of surgery following retraction of the needle, the host is removed from the frame  
20       and the wound is sutured. Prophylactic antibiotics or immunosuppressive therapy may be administered as needed.

      For treatment of more generalized neurological disorders, cells may be transfected to express a therapeutic compound and implanted in the ventricles or lumbar theca. As  
25       the therapeutic compound is secreted by the cells, natural circulation of the cerebrospinal fluid washes the therapeutic compound throughout the central nervous system providing a means of generalized treatment. Implantation into the ventricles may be accomplished by an open procedure, such as  
30       described in Madrazo et al., New Engl. J. Med., 316:831-834 (1987) or Penn et al., Neurosurgery, 22:999-1004 (1988), both of which are incorporated herein by reference. Implantation of cells into the lumbar theca is most conveniently accomplished by standard procedures similar to instillation of  
35       radiographic contrast media or antitumor medication via a lumbar puncture.

      In some instances, it may be desirable to implant cells extraneurally according to the present invention. The cells may be implanted percutaneously through a needle or  
40       endoscope or by an open procedure. Persons of skill will readily appreciate the most appropriate method of implanting cells for particular applications.

The cells may be encapsulated by membranes prior to implantation. The encapsulation provides a barrier to the host's immune system and inhibits graft rejection and inflammation. Several methods of cell encapsulation may be employed. In some instances, cells will be individually encapsulated. In other instances, many cells will be encapsulated within the same membrane. When the cells will be removed following implantation, the relatively large size of a structure encapsulating many cells within a single membrane provides a convenient means for retrieval of the implanted cells. Several methods of cell encapsulation are well known in the art, such as described in European Patent Publication No. 301,777, or U.S. Patent Nos. 4,353,888, 4,744,933, 4,749,620, 4,814,274, 5,084,350, or 5,089,272, each of which is incorporated herein by reference.

One method of cell encapsulation is as follows. The transformed cells are mixed with sodium alginate (a polyanionic seaweed extract) and extruded into calcium chloride so as to form gel beads or droplets. The gel beads are incubated with a high molecular weight ( $MW\ 60-500 \times 10^3$ ) concentration (0.03-0.1% w/v) polyamino acid, such as poly-L-lysine, for a brief period of time (3-20 minutes) to form a membrane. The interior of the formed capsule is reliquified by treating with sodium citrate. The single membrane around the cells is highly permeable ( $MW\ \text{cut-off}\ 200-400 \times 10^3$ ). The single membrane capsule containing the cell is incubated in a saline solution for 1-3 hours to allow entrapped sodium alginate to diffuse out of the capsule and expand the capsule to an equilibrium state. The resulting alginate-poor capsule is reacted with a low molecular weight polyamino acid ( $MW\ 10-30 \times 10^3$ ) such a poly-L-lysine (PLL) or chitosan (deacetylated chitin;  $MW\ 240 \times 10^3$ ) to produce an interacted, less permeable membrane ( $MW\ \text{cut-off}\ 40-80 \times 10^3$ ). The dual membrane encapsulated cells are then cultured in E-MEM for two to three weeks as described above.

While reference has been made specifically to sodium alginate beads, it will be appreciated by those skilled in the art that any non-toxic water soluble substance that can be

gelled to form a shape-retaining mass by a change in conditions in the medium in which it is placed may be employed. Such gelling material generally comprises several chemical moieties which are readily ionized to form anionic or cationic groups so that the surface layers can cross link to form a permanent membrane when exposed to oppositely charged polymers. Most polysaccharide gums, both natural and synthetic, can be cross-linked by polymers containing positively charged reactive groups such as amino groups. The cross-linking biocompatible polymers which may be reacted with the sodium alginate gum include polylysine and other polyamino acids. The degree of permeability of the membrane formed may be controlled by careful selection of a polyamino acid having the desired molecular weight. Poly-L-lysine (PLL) is the preferred polymeric material but others include chitosan and polyacrylate. Molecular weights typically vary from about  $10^4$  to about  $10^6$ .

In some embodiments of the present invention, the implanted cells may be transfected with a DNA sequence encoding a peptide. The peptide may be a directly therapeutic compound, such as a movement inhibitor in the treatment of Huntington's chorea. Alternatively, the peptide may be an enzyme which catalyzes the production of a therapeutic compound, e.g., the DNA could encode tyrosine hydroxylase which catalyzes the synthesis of dopamine that is effective in the treatment of Parkinsonism. The DNA may also encode a trophic factor such as a nerve growth factor, an inhibitory growth factor, or a cytokine useful in the treatment of brain tumors.

Generally, the DNA sequence will be operably linked to a transcriptional promoter and a transcriptional terminator. The DNA sequence may also be linked to a transcriptional enhancer. Expression of the DNA in the implanted cells may be constitutive or inducible. A variety of expression vectors having these characteristics may carry the DNA for transfection of the cells, such as plasmid vectors pTK2, pHyg, and pRSVneo, simian virus 40 vectors, bovine papillomavirus vectors or Epstein-Barr virus vectors, as

described in Sambrook et al., Molecular Cloning, A Laboratory Manual, Cold Spring Harbor Press, 1988, previously incorporated herein by reference. The vectors may be introduced into the cells by standard methods, such as electroporation, calcium phosphate-mediated transfection, polybrene transfection, and the like.

The following examples are offered by way of illustration, not by way of limitation.

#### 10 Example 1

This example describes preparation of SVG cells (A.T.C.C. CRL 8621) for implantation into rhesus monkeys. The cells were screened for infection with mycoplasma, HIV-1, Hepatitis B virus, virus, simian virus 40, Herpes simplex virus, cytomegalovirus, and JC virus.

SVG cells were grown to confluency. Cell growth was anchorage dependent. Foci formation did not occur and cell morphology was homogeneous. The cells were removed from tissue culture plates by digestion with 0.05% trypsin in 0.01M EDTA (Versene Buffer) in Hank's balanced salt solution. Cells were collected by centrifugation, washed 3 times and resuspended in phosphate buffered saline. Final cell density was  $10^6$  cells/ml. The cell suspension was stored at 4°C until transplantation.

25

#### Example 2

This example describes implantation of SVG cells into the basal ganglia of six rhesus monkeys. The implantations were performed by stereotaxic methods without surgical complications.

The animals were initially anesthetized with ketamine and were maintained on isofluorine gas anesthesia during the course of the surgery. Animals were placed in the stereotaxic frame (Kopf) and the landmarks for implantation were established through the stereotactic coordinates. The superior sagittal sinus was exposed in order to establish the midline. Marks were placed on the cranium over the caudate and the putamen on both sides. The coordinates were as

follows: AP was +24 mm in front of the 0. Lateral coordinates were 5 mm from the midline for the caudate nucleus, and 10 mm lateral from the midline for the putamen.

Five burr holes were made. One was made over the superior sagittal sinus, two over the caudates and two over the putamens. Two different implantation techniques were used.

1. 10  $\mu$ l Hamilton syringes with 26 gauge needle or 50  $\mu$ l Hamilton syringes with 23 gauge needles were used. On the right side of the brain SVG cells were transplanted. Using the syringes, two deposits were done in the putamen. One deposit was in the lateral putamen and the second was in the medial putamen. The needles were lowered at 18 mm from the cortex, then 10  $\mu$ l of the cell suspension was implanted using the Kopf microinjector. After the first implantation the needle was removed 1 mm a minute for 3 mm and then the second injection of 10  $\mu$ l of the cell suspension followed. After the second injection the needle was removed at 1 mm per minute. A second implantation was done in the opposite putamen at the same coordinates with the same technique.

After injecting the putamen, implantation into the caudate nucleus was performed with the same cell suspension. Two injections were done into the caudate, in the lateral and medial aspects. The depth of the injection was 15 mm and 10  $\mu$ l was implanted. The syringe was withdrawn 1 mm per minute for 3 mm, then the second injection of 10  $\mu$ l of the cell suspension was performed. Non-transfected SVG cells were transplanted into the putamen and SVG cells transfected with the tyrosine hydroxylase gene were transplanted into the caudate. The concentration of the cells was  $2 \times 10^6$  cells per mL.

2. In addition to using implantation with the syringes with needles, cannulas of blue peek tubing connected to 22 gauge needles were constructed. The tubing was connected to 1 cc tuberculin syringes using 0 dead volume connectors. Following insertion into the target, the needle was allowed to stand for 15 minutes prior to infusion. A Harvard infusion pump holding the cell suspension was then

started at 0.2  $\mu$ l/min. After infusing for 15 minutes at 0.2  $\mu$ l/min, the rate was increased to .4  $\mu$ l/min and was continued for 100 minutes. After termination of the infusion, the needles were left in place for 30 minutes prior to withdrawal.

5 The needles were then very slowly removed from the brain.

The wound was rinsed and then closed in anatomical layers. The animals woke up from the anesthesia and were transferred to their home cages 20 minutes after surgery.

### 10 Example 3

This example demonstrates successful engraftment of the implanted SVG cells in two of the monkeys sacrificed one month following implantation. The transplanted cells were histologically healthy. There was no evidence of inflammation  
15 or tumor formation.

The brain tissue in the region of the implantations was examined as follows:

For histopathological studies animals were killed by an overdose of pentobarbital (460 mg, i.v.) and were perfused  
20 through the ascending aorta with 15 ml of ice cold phosphate-buffered saline (PBS) followed by 10% formalin. The brains were removed rapidly, cut into 6 mm coronal slices and postfixed for 30 min. in the same fixative. The tissue slices were rinsed for 48 hr. in 30% sucrose in PBS and then rapidly  
25 frozen in -70°C. Tissue was cut into 40  $\mu$ m coronal sections in a freezing microtome and series of sections were collected in PBS. Sections were processed for immunohistochemistry with antibodies against tyrosine hydroxylase, glial fibrillary acidic protein and T-protein. Sections adjacent to those  
30 examined for TH-IR were stained with hematoxylin and eosin. Some blocks of tissue containing implant were processed in 5  $\mu$ m paraffin sections and were stained as described above.

Fig. 3 illustrates the needle track in a basal ganglia of one of the monkeys at low power. Higher power  
35 views of the needle track (Figs. 4-5) demonstrate viable SVG cells in the track. The cells are readily identified by large nucleus containing multiple nucleoli as exhibited by SVG cells in vitro. The morphology of the implant cells is strikingly

different than the morphology of surrounding cells.  
Inflammatory cells and tumor formation was not identified.

#### Example 4

5           This example describes cerebral MRI evaluation one month following implantation of the four remaining monkeys. No evidence of tumor formation was present in any of the monkeys.

10           Following induction of anesthesia, the monkeys were placed in a standard MRI frame. T<sub>1</sub> and T<sub>2</sub> weighted images without contrast and T<sub>1</sub> weighted images with gadolinium were done using a 1.5 Tesla magnet (Signa). The scans revealed no evidence of tumor or nodule formation (Fig. 9).

#### 15   Example 5

          This example demonstrates functioning of the transplanted SVG cells within the central nervous system. Host neurons migrated toward the implanted cells, neuronal dopaminergic bodies, and dopaminergic processes of host origin  
20   were extended to the implanted cells.

          Two of the surviving monkeys which received SVG cell implants as described in Example 2 above were sacrificed as described. The brains were removed intact as described above and sectioned.

25           Each section was placed on gelatin coated slides. Representative sections were stained with hematoxylin and eosin to characterize the anatomy (Fig. 6). The implanted cells exhibited characteristic SVG morphology with large nuclei having multiple nucleoli. Adjacent sections were  
30   stained with either monoclonal antibody to glial fibrillary acidic protein (GFAP), SV40 T protein, or tyrosine hydroxylase. The sections were then counterstained with hematoxylin alone. Fig. 7 illustrates an adjacent section stained with antibody to GFAP, a cytoplasmic protein of  
35   astrocytic lineage. The astrocytic origin is demonstrated by the dense cytoplasmic staining. The origin of the cells is also illustrated in Fig. 8 which clearly shows implanted cells stained with anti-T protein antibody.

The grafted cells within the caudate and putamen were viable and easily identified by anti-protein T antibody as described above. SVG cells were also identified on the wall of the lateral ventricles of all monkeys. Dopaminergic neurons exhibited neurite outgrowth toward the implanted cells (Fig. 10 demonstrates a tyrosine hydroxylase neuron stained with anti-tyrosine hydroxylase antibody in a layer of SVG cells in vivo). Dopaminergic neuronal bodies were also present in the region of the implanted SVG cells. The neurite outgrowth and presence of neuronal bodies indicate that the SVG cells produced neurotropic factors which caused neuron migration and extension of neuronal processes.

No evidence of inflammation, graft rejection, tumor or nodule formation was found in any of the sections.

15

#### Example 6

This example describes individual encapsulation of SVG cells and preparation of the cells for implantation. The cells are encapsulated in a sodium alginate pellet.

SVG cells are grown to confluence in culture dishes. The cells are removed from the culture plates with 0.05% trypsin and 1mM EDTA in Dulbecco's phosphate-buffered saline (PBS). The cells are suspended in PBS supplemented with  $MgCl_2$ ,  $CaCl_2$ , 0.1% glucose, and 5% fetal bovine serum. Cells are collected by centrifugation, washed twice in the suspension solution as described above and centrifuged to a pellet.

The cell pellet remaining at the bottom of the centrifuge tube is resuspended in 5 mL of a 1.5% (w/v) sodium alginate solution (Keltone LV® by Kelco, Ltd., Chicago, Illinois). The alginate cell suspension is extruded into 50 mL of a 1.5% (w/v)  $CaCl_2$  solution. Spherical droplets of the suspension are formed by an air jet-syringe pump droplet generator. With this apparatus, the cell-sodium-alginate suspension is extruded through a 22-gauge needle located inside a sheathed tube (3 mm I.D.) through which air flowed at a controlled rate (9 L/min). As liquid droplets are forced out of the end of the needle by the syringe pump (at 20 cc

hr), the droplets are pulled off by the shear forces set up by the rapidly flowing air stream. The needle tip is kept 8 cm above the surface of the  $\text{CaCl}_2$  solution surface to ensure that uniform, spherical gel droplets are formed with a diameter of about 300-1000 microns.

A sample of the gelled microbeads is examined for size and shape consistency using a dissecting microscope (Wild Heerbrugg Model M8) fitted with a calibrated eye-piece. After transferring the calcium alginate gel beads, containing the immobilized cells, to a 50 mL plastic centrifuge tube with a conical bottom, the beads are washed with 30 mL each of 0.1% (w/v) CHES and 1.1% (w/v)  $\text{CaCl}_2$  solutions. The supernatant volume is reduced after each washing using a vacuum aspirator. A semi-permeable capsule membrane is formed by reacting the gel droplets with an aqueous 0.05% (w/v) PLL solution (M/v of PLL = 22.000) for 8 minutes. After the addition of the PLL solution, the centrifuge tube is capped and manually rocked end-to-end for the duration of the reaction to keep the capsules from sticking together. The resultant microcapsules, 300-1000 microns in diameter, are washed with 30 mL each of 0.1% CHES and 1.1%  $\text{CaCl}_2$  and with two 30 mL aliquots of isotonic saline. The encapsulated cells are contacted with 30 mL of 0.03% (w/v) sodium alginate solution for 4 minutes formed an outer layer on the capsules. The interior of the microcapsules is liquified with 30 mL of a 0.05 M sodium citrate solution for six minutes. The microcapsules, 400-1400 microns in diameter, are washed several times in saline to remove excess citrate and then divided into five 1 mL aliquots. Each aliquot is incubated in 10 mL DMEM medium in a 25  $\text{cm}^3$  culture flask at 37°C in an isotemp Series 400  $\text{CO}_2$  incubator (model 413D, Fisher Scientific Co., Nepean, Ontario).

#### Example 7

This example describes transfecting SVG cells with nucleic acid encoding tyrosine hydroxylase. SVG cells which expressed tyrosine hydroxylase were identified in the cultures following transfection.

A nonconfluent monolayer of SVG cells were transfected with a plasmid containing human tyrosine hydroxylase cDNA operably linked to a cytomegalovirus promoter. The cells were transfected by calcium phosphate precipitation. Two days following transfection, cells from culture were fixed and stained with a labeled antibody to tyrosine hydroxylase. Cells expressing tyrosine hydroxylase were identified.

All publications, patents and patent applications mentioned in this specification are herein incorporated by reference into the specification to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated herein by reference.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims.

WHAT IS CLAIMED IS:

1. A method for treating a host comprising  
implanting cells of an immortalized human neuro-derived fetal  
5 cell line into the host.

2. A method as in claim 1, wherein the fetal cell  
line is derived from human fetal astrocytes.

10 3. A method as in claim 2, wherein the cells are  
derived from the SVG cell line.

4. A method as in claim 1, wherein the cells are  
encapsulated by a membrane which is impermeable to antibodies.  
15

5. A method as in claim 1, wherein the cells are  
implanted into the central nervous system of the host.

6. A method as in claim 5, wherein the cells are  
20 implanted into the basal ganglia of the host.

7. A method as in claim 5, wherein the cells are  
implanted into the lumbar theca of the host.

25 8. A method as in claim 5, wherein the cells are  
implanted into a lateral ventricle of the host.

9. A method as in claim 1, wherein the cells are  
implanted extraneurally.  
30

10. A method as in claim 9, wherein the cells are  
implanted subcutaneously.

11. A method as in claim 1, wherein the cells have  
35 been transfected with a vector comprising a nucleic acid  
sequence encoding a peptide for expression by the cells.

12. A method as in claim 11, wherein the peptide is an enzyme.

5 13. A method as in claim 11, wherein the peptide is a disease associated antigen.

14. A method as in claim 13, further comprising removing the cells following implantation.

10

15. A method as in claim 13, wherein the cells are encapsulated by a membrane impermeable to antibodies.

15

16. A method for treating Parkinson's Disease in a host comprising implanting cells derived from an SVG cell line into the basal ganglia of the host.

20

17. A method as in claim 16, wherein the SVG cells are transfected with a nucleic acid sequence encoding tyrosine hydroxylase operably linked to a transcriptional promoter and a transcriptional terminator.

25

18. A method as in claim 16, wherein the host does not require immunosuppressive therapy following implantation of the cells.

30

19. A method of treating a neurological disorder caused by a lesion in a host's central nervous system, comprising:

placing a needle into the central nervous system;  
and

injecting a suspension of cells into the central nervous system through the needle, which cells are from an immortalized human neuro-derived fetal cell line.

35

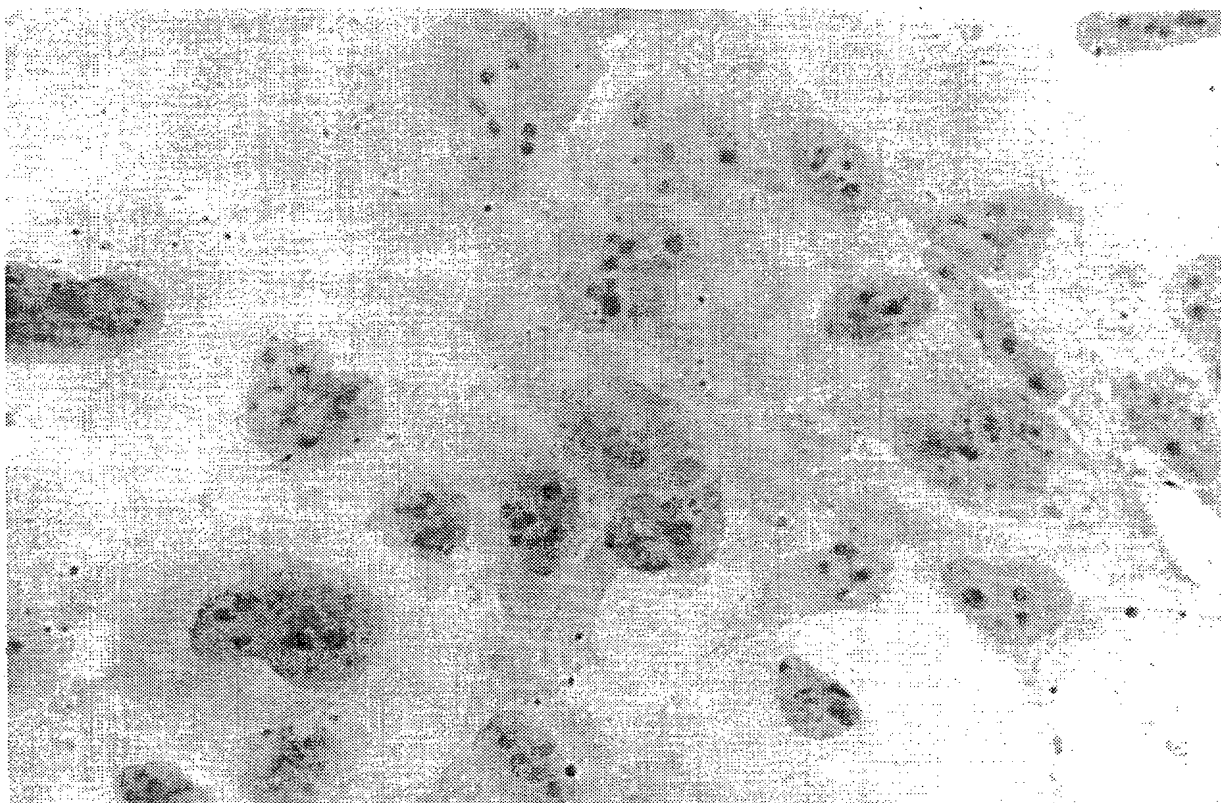
20. A method as in claim 19, wherein the lesion is confined to a region of the central nervous system and the cells are injected into the region.

21. A method as in claim 19, wherein the cells are SVG cells.

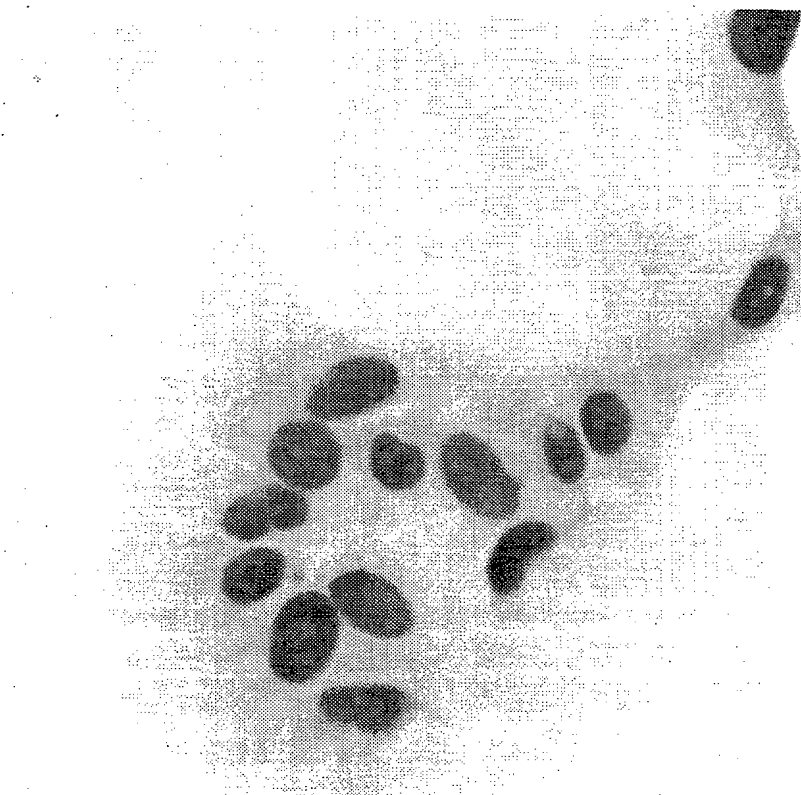
22. A method as in claim 19, wherein the  
5 neurological disorder is Parkinsonism.

23. A method as in claim 19, wherein the cells are injected with a infusion pump.

1/5

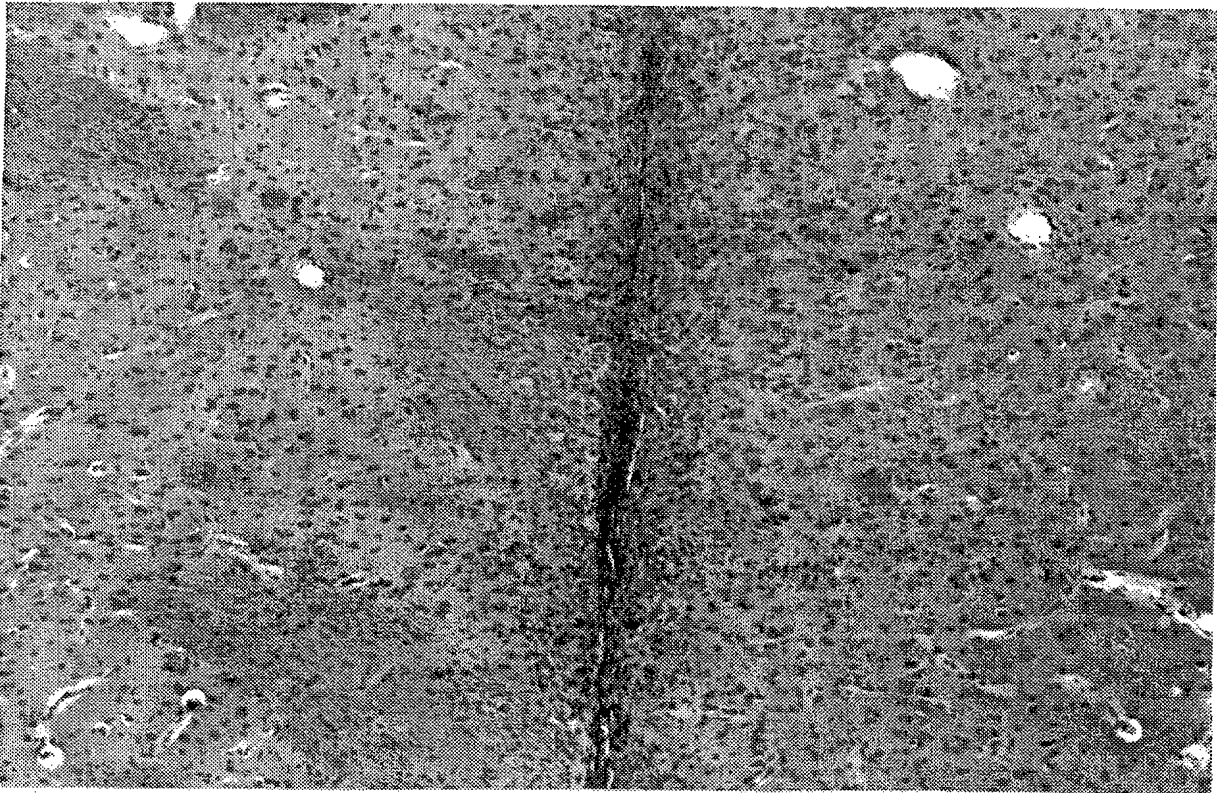


**FIG. 1.**

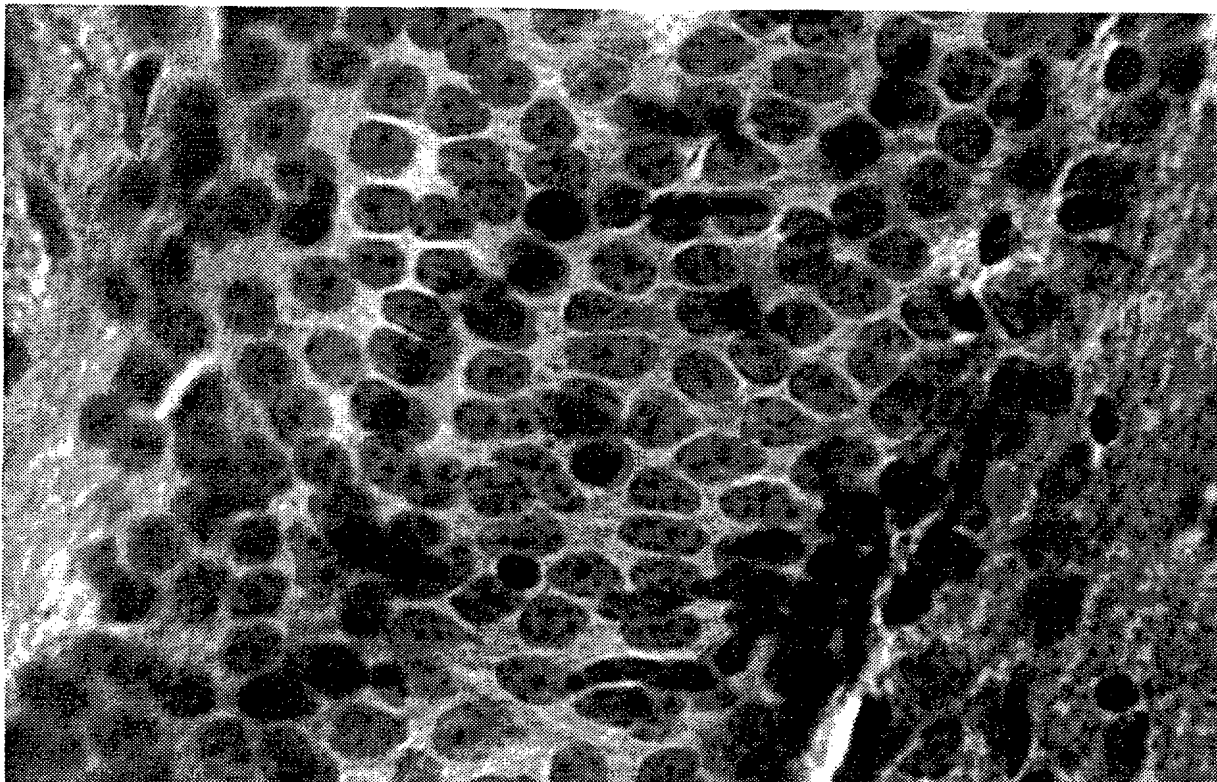


**FIG. 2.**

2/5



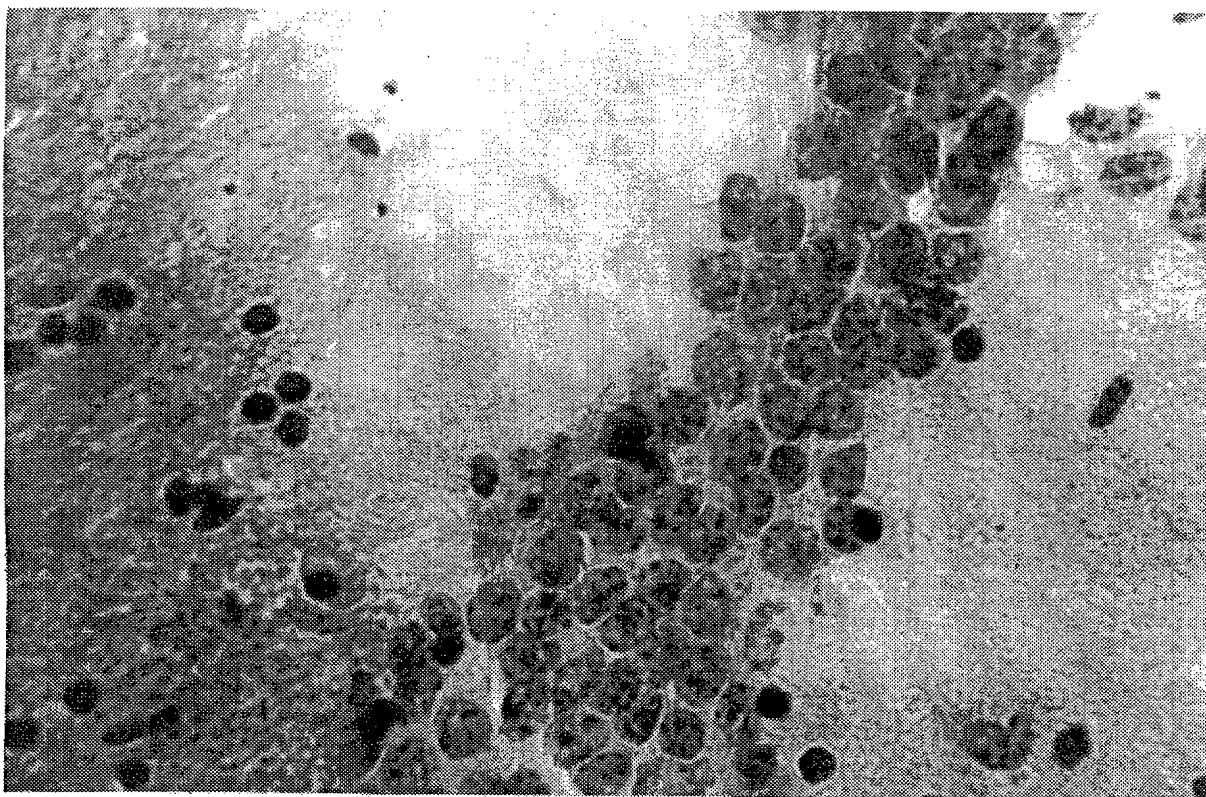
**FIG. 3.**



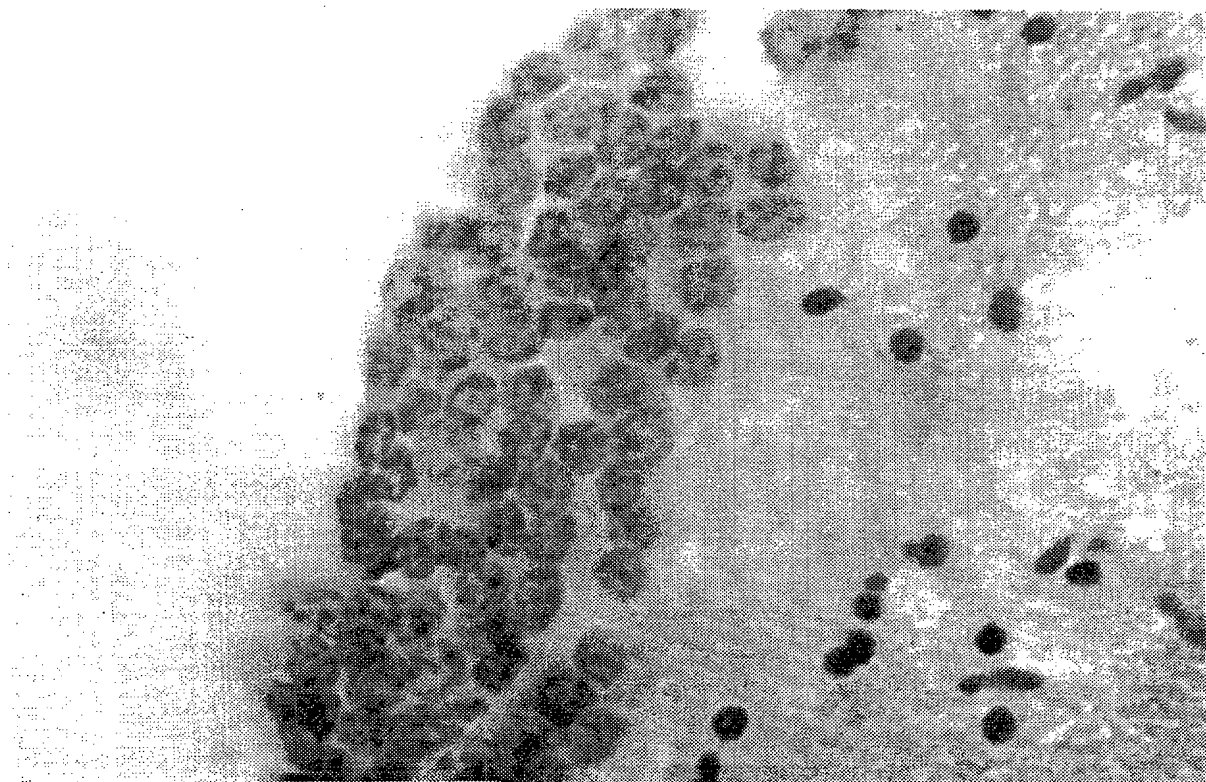
**FIG. 4.**

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3/5



**FIG. 5.**

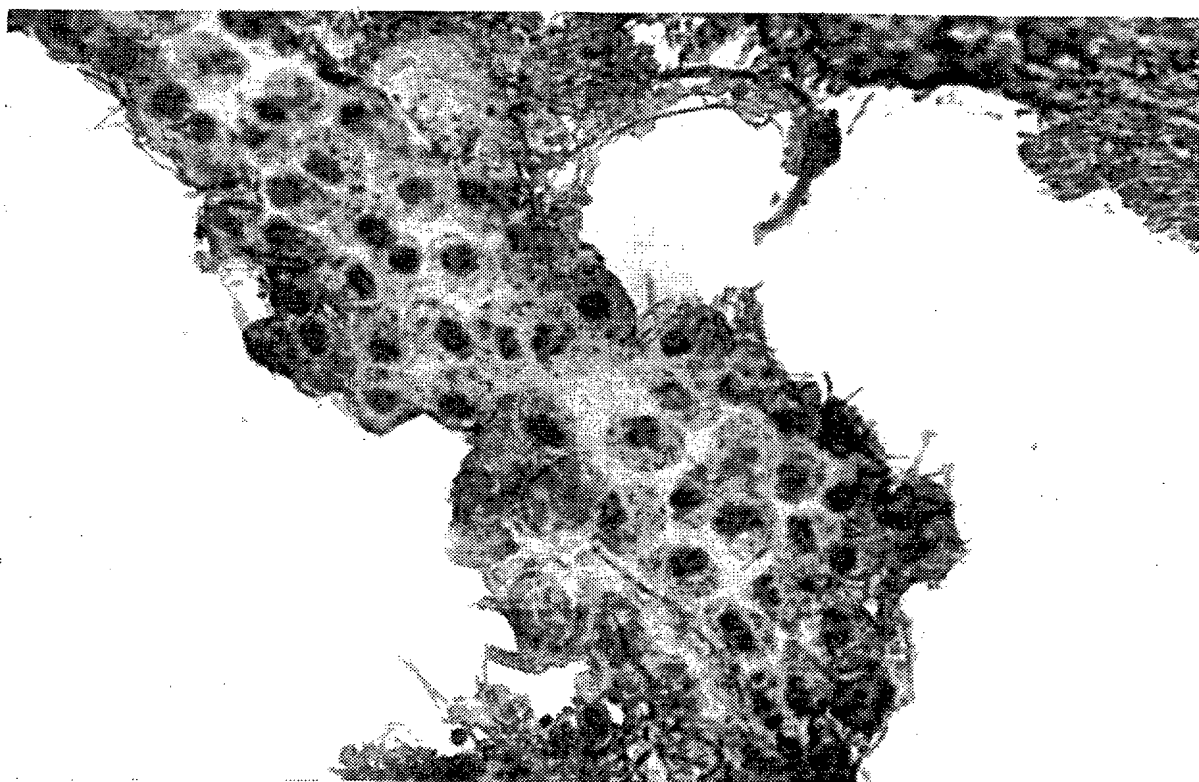


**FIG. 6.**

4/5



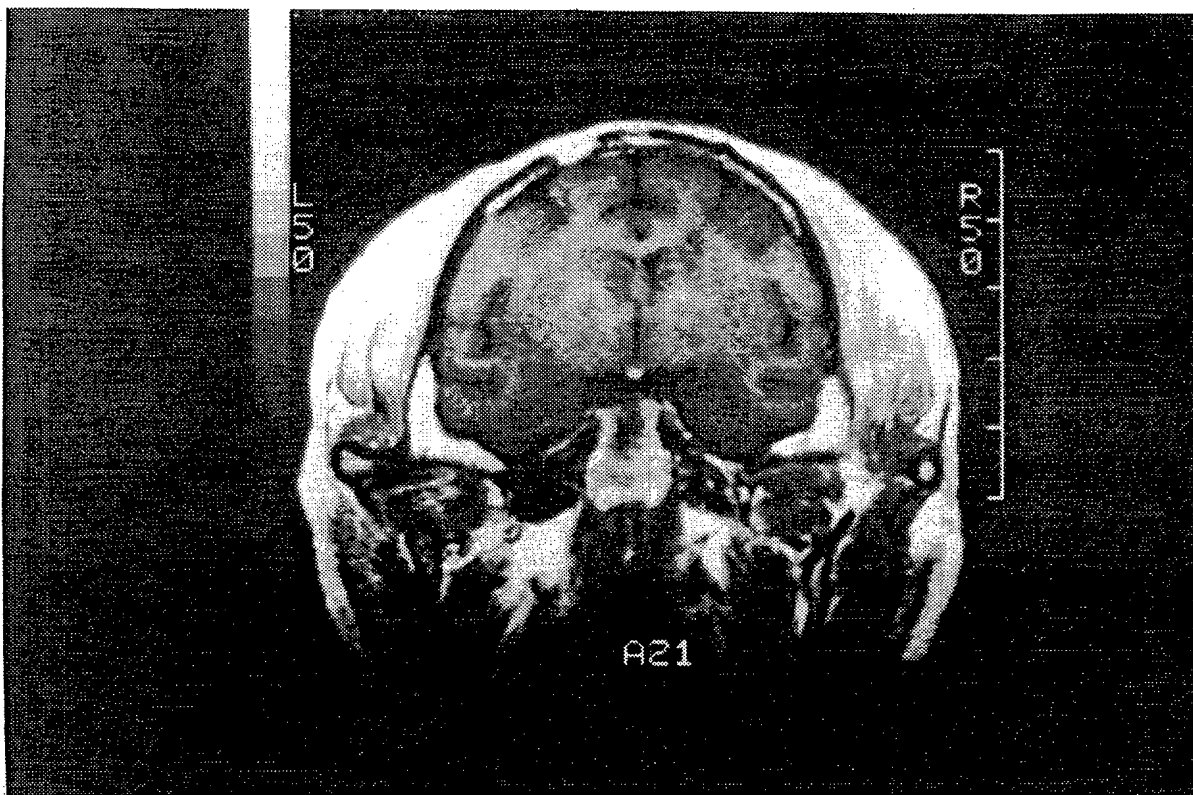
**FIG. 7.**



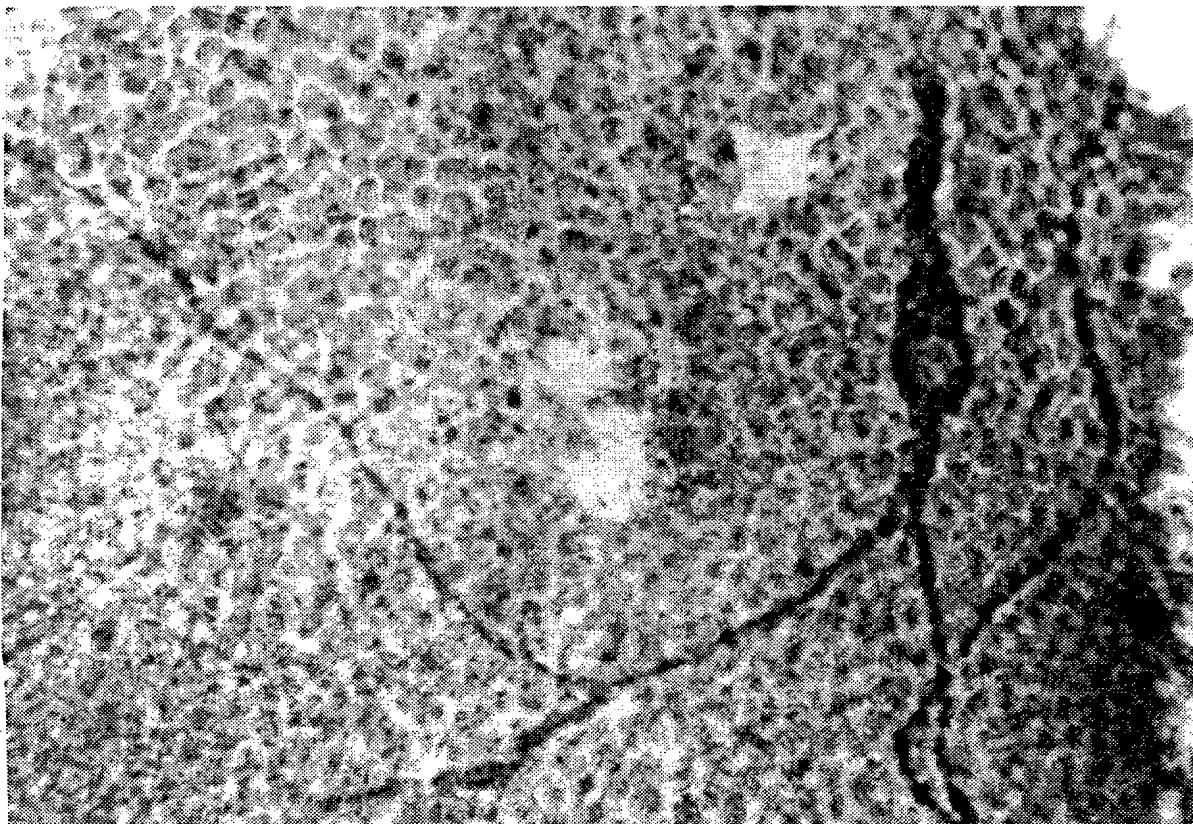
**FIG. 8.**

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5/5



**FIG. 9.**



**FIG. 10.**

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## INTERNATIONAL SEARCH REPORT

Inter. Application No

PCT/US 94/03938

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 5 A61K48/00 A61K35/54 //C12N5/10,C12N15/53

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 A61K C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,91 06631 (CASE WESTERN RESERVE UNIVERSITY) 16 May 1991	1,2, 4-10,19, 20,22,23 3,11-18, 21
Y	see page 25, line 4 - page 26, line 18; claims 1-16,23-28; example 8 see page 28, line 12 - line 16 see page 29, line 20 - page 30, line 5 see page 30, line 15 - page 31, line 10 ---	
Y	US,A,4 707 448 (E.O. MAJOR) 17 November 1987 cited in the application see column 8, line 65 - line 68; claims see column 10, line 11 - line 16 --- -/--	3,21



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

14 July 1994

Date of mailing of the international search report

22.07.94

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## INTERNATIONAL SEARCH REPORT

Inter. Application No

PCT/US 94/03938

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA. vol. 86 , November 1989 , WASHINGTON US pages 9011 - 9014 J.A. WOLFF ET AL. 'GRAFTING FIBROBLASTS GENETICALLY MODIFIED TO PRODUCE L-DOPA IN A RAT MODEL OF PARKINSON DISEASE.' see page 9011, right column, line 4 - line 38 see page 9013, right column, line 60 - page 9014, left column, line 30 ---	11-18
X	US,A,5 032 407 (T.E. WAGNER ET AL.) 16 July 1991 see column 3, line 34 - line 55 see column 4, line 48 - line 57 see column 5, line 42 - line 46; examples 3,4,6 see column 9, line 47 - line 50 see column 9, line 62 - column 10, line 34 ---	1,11,13, 19,20,23
A	WO,A,89 09816 (MASSACHUSETTS INSTITUTE OF TECHNOLOGY) 19 October 1989 see page 6, line 25 - page 10, line 28; claims 7-10 ---	1-23
A	WO,A,90 05781 (BAYLOR COLLEGE OF MEDICINE) 31 May 1990 see claims; example 1 ---	1-23
A	CHEMICAL ABSTRACTS, vol. 110, no. 11, 13 March 1989, Columbus, Ohio, US; abstract no. 89851p, K. UCHIDA ET AL. 'TRANSFECTION OF TYROSINE HYDROXYLASE cDNA INTO C6 CELLS.' page 207 ; see abstract & PROC. JPN. ACAD., SER. B vol. 64, no. 9 , 1988 page 290-293 ---	1-23
O,P, X	JOURNAL OF CELLULAR BIOCHEMISTRY, ABSTRACT SUPPL. 17E (KEYSTONE SYMPOSIUM ON GENE THERAPY, APRIL 12-18, 1993) NEW YORK, N.Y., US page 227 C.S. TORNATORE ET AL. 'IMPLANTATION AND SURVIVAL OF A HUMAN FETAL ASTROCYTE CELL LINE IN THE BASAL GANGLIA OF THE NON-HUMAN PRIMATE, RHESUS MONKEY.' see abstract SZ 115 -----	1-10,16, 18-23

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 94/03938

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark : Although claims 1-23 are directed to a method of treatment of the human/animal body the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inter. Application No

PCT/US 94/03938

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9106631	16-05-91	US-A- 5202120 AU-A- 6918891	13-04-93 31-05-91
US-A-4707448	17-11-87	NONE	
US-A-5032407	16-07-91	NONE	
WO-A-8909816	19-10-89	DE-T- 68907220 EP-A,B 0428519 JP-T- 3504799 US-A- 5270191	27-01-94 29-05-91 24-10-91 14-12-93
WO-A-9005781	31-05-90	AU-A- 4669189 EP-A- 0445218 JP-T- 4501960	12-06-90 11-09-91 09-04-92